

**Contract No. DE-AC02-98CH10886
Modification M055**

**APPENDIX I
(Modified per Mod M055 dated 1/11/2001)**

DOE DIRECTIVES

Contract No. DE-AC02-98CH10886

There is no List A to this Appendix.

List B to this Appendix contains two parts as follows:

Part I: " Directives List"

This section contains a list of Directives that are considered by DOE as applicable to the BNL contract.

Part II: "Partial Deletions of Directives"

This section contains a list of Directives that were accepted and implemented by the previous contractor but have subsequently been revised by DOE to remove certain sections.

Appendix I - Part I

CRD=Contract Requirements Document

DIRECTIVES LIST		
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE
7/14/99	N 142.1	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS (Extended until 12/31/00 by DOE N 251.37 dated 9/1/00)
7/26/99	N 205.1	CRD - UNCLASSIFIED CYBER SECURITY PROGRAM (Extended until 12/31/00 by DOE N 251.37 dated 9/1/00)
11/1/99	N 205.2	CRD - FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS (Extended until 12/31/00 by DOE N 251.37 dated 9/1/00)
11/23/99	N 205.3	CRD - PASSWORD GENERATION, PROTECTION, AND USE (Extended until 12/31/00 by DOE N 251.37 dated 9/1/00)
4/15/99	N 350.5	CRD - USE OF FACILITY CONTRACTING EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA
7/15/97	N 440.1	CRD - INTERIM CHRONIC BERYLLIUM DISEASE PREVENTION PROGRAM
9/30/95	N 441.1	RADIOLOGICAL PROTECTION FOR DOE ACTIVITIES (using ORNL/TM-11497 in lieu of Attachment 1)(Extended until 6/30/00 by DOE N 441.4 dated 11/20/98)
5/26/00	N.473.4	CRD - DEPARTMENT OF ENERGY BADGES
6/5/00	N 473.5	CRD - SECURITY AREA VOUCHING AND PIGGYBACKING
11/3/99	O 110.3	CRD - CONFERENCE MANAGEMENT
9/29/95	O 130.1	CRD - BUDGET FORMULATION PROCESS
9/30/95	O.135.1	BUDGET EXECUTION-FUNDS DISTRIBUTION AND CONTROL (Extended until 9/30/00 by DOE N 135.1 dated 11/29/99)
9/25/95 10/26/95 8/21/96	O 151.1 Change 1 Change 2	CRD - COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM
9/30/96	O 200.1	CRD - INFORMATION MANAGEMENT SYSTEM
9/27/95 10/26/95 5/1/95	O 210.1 Change 1 Change 2	CRD - PERFORMANCE INDICATORS AND ANALYSIS OF OPERATIONS INFORMATION
12/8/97	O 224.1	CRD - CONTRACTOR PERFORMANCE-BASED BUSINESS MANAGEMENT PROCESS
11/26/97	O 225.1A	CRD - TYPE A AND B ACCIDENT INVESTIGATIONS
9/30/95 10/26/95 11/7/96	O 231.1 Change 1 Change 2	CRD - ENVIRONMENT, SAFETY & HEALTH REPORTING <u>CANCELLATION</u> - ES&H Reporting, dated 11/7/96, Paragraph 5b(2).
9/30/95 11/7/96 01/28/00	DOE M 231.1-1 Change 1 Change 2	ENVIRONMENT, SAFETY, AND HEALTH REPORTING MANUAL <u>CANCELLATION</u> - ES&H Reporting Manual, dated 11/7/96, Chapter IV.

DIRECTIVES LIST		
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE
8/1/97	O 232.1A	CRD - OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION (As modified by letter Grahn/Gordon, dated 4/10/98, effective 5/5/98)
7/21/97	M 232.1-1A	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION (As modified by letter Grahn/Gordon, dated 4/10/98, effective 5/5/98)
8/17/98	O 241.1	CRD - SCIENTIFIC AND TECHNICAL INFORMATION (STI) MGMT.
1/30/98	O 251.1A	CRD - DIRECTIVES SYSTEM
11/19/99	O.252.1	CRD - TECHNICAL STANDARDS PROGRAM
12/30/96	O 311.1A	CRD - EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM
9/30/96	O 350.1	CRD - CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS
5/8/98	Change 1	CRD - EMPLOYEE BENEFITS
12/6/95	O 413.1	CRD - MANAGEMENT CONTROL PROGRAM (Extended until 12/6/00 by DOE N 413.1 dated 12/10/99)
3/5/97	O 413.2	CRD - LABORATORY DIRECTED RESEARCH AND DEVELOPMENT
11/24/98	O 414.1	CRD - QUALITY ASSURANCE
10/13/95 11/16/95 10/24/96	O 420.1 Change 1 Change 2	CRD - FACILITY SAFETY
11/5/98 5/26/99	O 420.2 Change 1	CRD - DOE O 420.2, SAFETY OF ACCELERATOR FACILITIES
12/28/98	O 425.1A	CRD - DOE O 425.1A, STARTUP AND RESTART OF NUCLEAR FACILITIES, DATED 12/28/98
10/14/98	O 430.1A	CRD - LIFE CYCLE ASSET MANAGEMENT
6/13/96	O 430.2	IN HOUSE ENERGY MANAGEMENT (NO CONTRACTS REQUIREMENT DOCUMENT) (Extended until 12/13/00 by DOE N 430.2 dated 6/13/00)
7/9/99	O 435.1	CRD - RADIOACTIVE WASTE MANAGEMENT (Cancels D0E 5820.2A)
7/9/99	M 435.1-1	RADIOACTIVE WASTE MANAGEMENT MANUAL
3/27/98	O 440.1A	CRD - WORKER PROTECTION MANAGEMENT FOR DOE CONTRACTOR EMPLOYEES
9/25/95 10/13/95 10/26/95	O 440.2 Change 1 Change 2	CRD - AVIATION
2/1/99	O 442.1	CRD - DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PRG.
5/15/00	O 443.1	PROTECTION OF HUMAN SUBJECTS

DIRECTIVES LIST		
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE
10/2/96	O 460.1A	CRD - PACKAGING AND TRANSPORTATION SAFETY
9/27/95 10/26/95	O 460.2 Change 1	CRD - DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT
9/28/95 6/21/95	O 470.1 Change 1	CRD - CONTRACTOR SAFEGUARDS AND SECURITY PROGRAM REQUIREMENTS (Extended until 12/31/00 by DOE N 251.37 dated 9/1/00)
03/01/00	O 470.2A	CRD - SECURITY AND EMERGENCY MANAGEMENT INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM
6/30/00	O 471.1A	CRD - IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION
6/30/00	M 471.1-1	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL
3/27/97	O 471.2A	CRD - INFORMATION SECURITY PROGRAM (Extended until 12/31/00 by DOE N 251.37, dated 9/1/00)
1/6/99	M 471.2-1B	CRD - PROTECTION AND CONTROL OF CLASSIFIED MATTER
8/3/99	M 471.2-2	CRD - CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL (DOE N 205.3, dated 11/23/99 cancel Paragraphs 4j(2) and 4j(6) of Chapter VI, and Paragraph 12a(2)(a) of Chapter VII.)
3/24/97	O 472.1B	CRD - PERSONNEL SECURITY ACTIVITIES
5/22/98	M 472.1-1	PERSONNEL SECURITY PROGRAM MANUAL (See CRD for DOE O 472.1B)
6/30/00	O 473.2	CRD - PROTECTIVE FORCE PROGRAM
6/30/00	M 473.2-2	PROTECTIVE FORCE PROGRAM MANUAL
8/11/99	O 474.1	CRD - CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS
8/11/99	M 474.1-1	CRD - MANUAL FOR CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS
5/8/98	M 475.1-1	CRD - IDENTIFYING CLASSIFIED INFORMATION
9/30/96	O 481.1	CRD - WORK FOR OTHERS (NON DOE FUNDED WORK)
8/25/00	O 551.1A	CRD - OFFICIAL FOREIGN TRAVEL
9/29/95	O 534.1	CRD - ACCOUNTING (Extended until 9/29/00 by DOE N 534.1 dated 9/29/99)
7/12/00	M 573.1-1	MAIL SERVICES USER'S MANUAL
6/10/00	P 413.1	PROGRAM AND PROJECT MANAGEMENT POLICY FOR THE PLANNING, PROGRAMMING, BUDGETING, AND ACQUISITION OF CAPITAL ASSETS

DIRECTIVES LIST		
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE
6/23/92	1270.2B	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY
5/18/92	2030.4B	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL
1/27/93	2100.8A	COST ACCOUNTING, COST RECOVERY, & INTERAGENCY SHARING OF INFORMATION TECHNOLOGY FACILITIES
7/14/88 10/5/88 5/18/92	2110.1A Change 1 Change 2	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES
6/8/92	2300.1B	AUDIT RESOLUTION AND FOLLOWUP
5/18/92	2320.1C	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL
2/10/94	4330.4B	MAINTENANCE MANAGEMENT PROGRAM (Nuclear Facilities Portion Only)
11/9/88 6/29/90	5400.1* Change 1	GENERAL ENVIRONMENTAL PROTECTION PROGRAM
2/8/90 6/5/90 1/7/93	5400.5* Change 1 Change 2	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT
5/15/84 5/16/88 5/16/89 9/20/91	5480.4* Change 1 Change 2 Change 3	ENVIRONMENTAL PROTECTION, SAFETY, AND HEALTH PROTECTION STANDARDS
7/9/90 5/18/92	5480.19 Change 1	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES
11/15/94	5480.20A	PERSONNEL SELECTION, QUALIFICATION AND TRAINING REQUIREMENTS FOR DOE NUCLEAR FACILITIES
12/24/91	5480.21	UNREVIEWED SAFETY QUESTIONS
2/25/92 9/15/92 1/23/96	5480.22 Change 1 Change 2	TECHNICAL SAFETY REQUIREMENTS
4/10/92 3/10/94	5480.23 Change 1	NUCLEAR SAFETY ANALYSIS REPORTS
1/19/93	5480.30	NUCLEAR REACTOR SAFETY DESIGN CRITERIA
9/20/91	5530.1A	ACCIDENT RESPONSE GROUP
1/14/92 4/10/92	5530.3 Change 1	RADIOLOGICAL ASSISTANCE PROGRAM
5/8/85	5560.1A	PRIORITIES AND ALLOCATIONS PROGRAM

DIRECTIVES		
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE
8/1/80	5610.2	CONTROL OF WEAPON DATA
7/15/94	5632.1C*	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS
5/26/94	5660.1B	MANAGEMENT OF NUCLEAR MATERIALS
9/4/92	5670.3	COUNTERINTELLIGENCE PROGRAM
5/18/92	5700.7C	WORK AUTHORIZATION SYSTEM

ACCOUNTING PRACTICES AND PROCEDURES HANDBOOK

5/2/83	Chapter V	INVENTORIES
6/30/80	Chapter X	PRODUCT COST ACCOUNTING

Appendix I - Part II

PARTIAL DELETIONS OF DIRECTIVES				
DATE	DOE DIRECTIVE NUMBER	SUBJECT TITLE	DELETION DIRECTIVE DATE	SECTIONS DELETED
11/9/88 6/29/90	5400.1 Change 1	GENERAL ENVIRONMENTAL PROTECTION PROGRAM	O 231.1 9/30/95 Change 1 10/26/95 Change 2 11/7/96	Paras. 2d, 2b, 4b & 4c of Chap II; Paras 2d & 3b of Chap III; Para 10(c) of Chap IV
2/8/90 6/5/90 1/7/93	5400.5 Change 1 Change 2	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	O 231.1 9/30/95 Change 1 10/26/95	Chapter II: Para 1a(3) (a)
5/15/84 5/16/88 5/16/89 9/20/91	5480.4 Change 1 Change 2 Change 3	ENVIRONMENTAL PROTECTION, SAFETY, AND HEALTH PROTECTION STANDARDS	O 440.1 9/30/95 Change 1 10/26/95	Attachment 2: Paras 2c, 2d(2) - (3), 2e(1) - (8); and Attach. 3: Paras 2c,; 2d(2) - (3), 2e(1) - (7)
7/15/94	M5632.1C-1	MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	O 470.1 9/28/95 O 471.2A 3/27/97	Chapter XI Chapter III, Paras 1, 2, 4-9