



Department of Energy  
Washington, DC 20585

June 25, 2015

**JM CHRONOLOGY**

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MEMORANDUM FOR: INGRID KOLB  
DIRECTOR, OFFICE OF MANAGEMENT

THROUGH: KEVIN T. HAGERTY *Kevin T. Hagerty*  
DIRECTOR, OFFICE OF INFORMATION RESOURCES

FROM: MATTHEW B. MOURY *MB Moury*  
ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY

SUBJECT: Notice of Intent to Revise DOE P 470.1A, Safeguards and Security Program

**PURPOSE:** The U.S. Department of Energy (DOE) Policy (P) 470.1A, "*Safeguards and Security Program*," ensures DOE efficiently and effectively meets all of its obligations to protect special nuclear material (SNM), classified mater, sensitive information, government property, and the safety and security of employees, contractors, and the general public. These obligations are found in numerous national policies, including the Atomic Energy Act of 1954, as amended, (42 U.S.C. § 2011 et seq.), the Department of Energy Organization Act (42 U.S.C. § 7101), Executive Order (E.O.) 13526, "Classified National Security Information," E.O. 12968, "Access to Classified Information," and E.O. 12829, "National Industrial Security Program," amongst others.

The Safeguards and Security Program extends to all elements of the Department, including the National Nuclear Security Administration. The proposed revision of this directive will realign this policy to create an integrated security enterprise that effectively protects the Department's assets to institute enterprise-wide solutions to common challenges across the complex.

**JUSTIFICATION:** As part of the Secretary's 2014 strategy of reforms to enhance security, independent assessments, safety and health functions, the Office of Security is revising the policy to facilitate actions to establish clear lines of responsibility and accountability for the implementation of security within line management to identify effective enterprise security strategies and provide guidance in the development of security policy.

**IMPACT:** The proposed policy does not duplicate existing laws, regulations, or national standards and is not expected to create undue burden on the Department. The revised policy will re-establish a departmental baseline with maximum flexibility and accountability for mission managers to integrate enterprise security into their planning, programs, and operations, which will provide opportunity for cost savings. This policy will continue: to ensure risk is characterized and managed by those closest to the mission and work environment with associated accountability, to establish the highest standards for managing safeguards and



security programs, and to integrate safeguards and security program requirements with other aspects of the Department's mission, such as safety, cyber security, stockpile surety, environmental restoration, and basic scientific research, to ensure that safeguards and security program requirements are met in the most cost-effective manner and with minimum operational impact.

The changes to this policy directive will be essentially neutral in its direct impact to the safeguards and security program. However, this policy revision will facilitate active coordination of effective strategies across the Department and enable mechanisms for addressing cross-organizational issues and challenges.

**WRITER:** Marc Brooks (301) 903-4291

**OPI/OPI CONTACT:** Office of Security (AU-50); Robert Ligan, Acting Director (202) 586-1461

Ingrid Kolb, Director, Office of Management (MA-1):

Concur:  Nonconcur: \_\_\_\_\_ Date: 8-6-2015

If approved, this action will be processed under an expedited directives timeline, as determined by the Directives Review Board.

Approved: 12-29-2010

**SUBJECT: SAFEGUARDS AND SECURITY PROGRAM**

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**PURPOSE AND SCOPE**

The Safeguards and Security Program ensures that the Department of Energy efficiently and effectively meets all its obligations to protect Special Nuclear Material, other nuclear materials, classified matter, sensitive information, government property, and the safety and security of employees, contractors, and the general public. These obligations are found in numerous national policies, including the Atomic Energy Act of 1954, as amended, (42 U.S.C. § 2011 et seq.), the Department of Energy Organization Act (42 U.S.C. § 7101), Executive Order 13526, *Classified National Security Information*, Executive Order 12968, *Access to Classified Information*, and Executive Order 12829, *National Industrial Security Program*, among others.

The established Safeguards and Security Program extends to all elements of the Department, including the National Nuclear Security Administration.

This Policy cancels and supersedes DOE P 470.1, *Integrated Safeguards and Security Management (ISSM) Policy*, dated 5-8-01.

**POLICY**

The Department's Safeguards and Security Program will:

1. Identify all protection needs for the Department.
2. Establish clear roles and responsibilities for safeguards and security.
3. Implement Departmental policy through line management.
4. Establish safeguards and security oversight programs to assure that policy implementation meets established standards.
5. Seek and implement continuous improvements and efficiencies.

The Safeguards and Security Program will incorporate the following principles:

1. Safeguards and Security considerations are thoroughly integrated with all aspects of mission accomplishment.
2. Protection requirements are commensurate with the consequences of loss or misuse of the protected asset.
3. Responsibility for the implementation of protection measures resides with DOE line management elements responsible for mission accomplishment.
4. Authority is delegated to appropriate levels to promote efficiency and effectiveness.

5. Program oversight ensures that opportunities for improvement, both in effectiveness or efficiency, are identified and acted upon.
6. Managers are empowered to make risk management decisions as necessary to support mission accomplishment.
7. Program focus is upon overall mission performance.

BY ORDER OF THE SECRETARY OF ENERGY:



DANIEL B. PONEMAN  
Deputy Secretary