Controlled Unclassified Information

The Program and Implementation

Shared • Standardized • Transparent

ISOO
INFORMATION SECURITY OVERSIGHT OFFICE

Information Security Oversight Office (ISOO)

Agenda

- Executive Agent
- CUI Program = Information Security Reform
- Why protect CUI?
- Controlled Unclassified Information = CUI Registry
- Federal Acquisition Regulation (update)
- Implementation
- Available resources

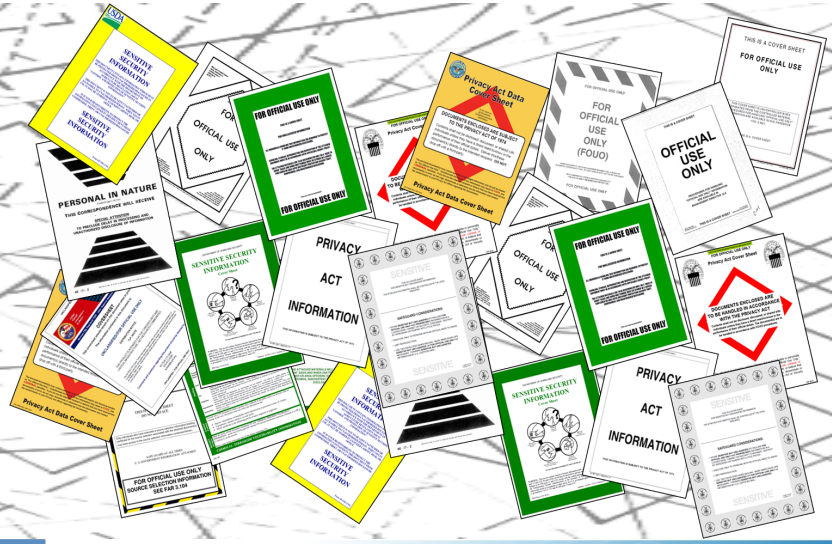
Executive Agent for the CUI Program

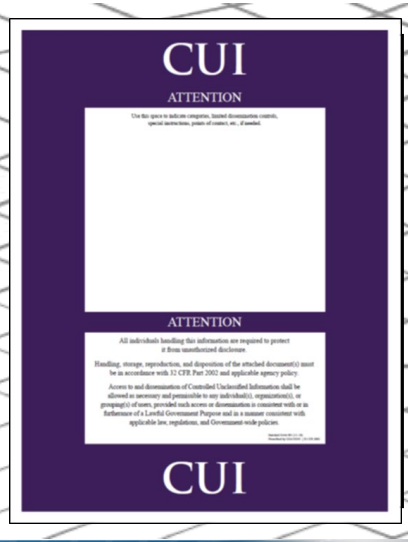
Information Security Oversight Office:

- Develops and issues policy and guidance
- Chairs the CUI Advisory Council
- Reviews, evaluates, and oversees agency actions to implement the program
  - Reviews and approves agency implementing policy
- Maintains the CUI Registry
  - Approves categories and limited dissemination controls
- Reports to the President

Information Security Reform

- Clarifies what to protect
- Defines safeguarding
- Reinforces existing LRGWP
- Promotes authorized information sharing





Laws, Regulations, and Government-wide Policies

- **Laws, Regulations, and Government-wide policies (LRGWP) identified what to protect but failed to say how.**
  - **Inefficient patchwork system with more than 100 different policies across the executive branch**
  - **Inconsistent marking and safeguarding**
  - **Unnecessarily restrictive dissemination policies**
  - **Impediments to authorized information sharing**

Why protect CUI?

- The loss or improper safeguarding of CUI could be expected to have a serious adverse effect on organizational operations, organizational assets, or individuals.

  — degradation in mission capability;

  — damage to organizational assets;

  — financial loss; or

  — harm to individuals

What is Controlled Unclassified Information (CUI)?

- CUI is information that requires protection. Laws, Regulations, or Government wide policies call for this information to be protected.
  - The **CUI Registry** provides information on the specific categories of information that the Executive branch protects. The CUI Registry can be found at:

https://www.archives.gov/cui

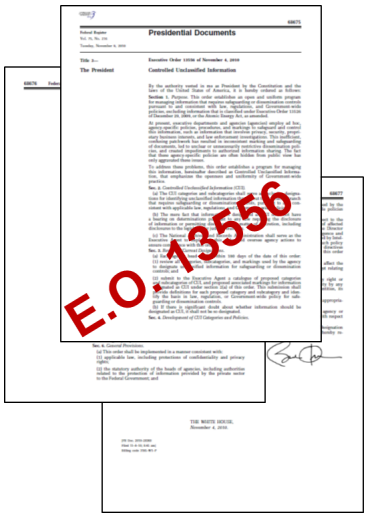
CUI includes, but is not limited to:

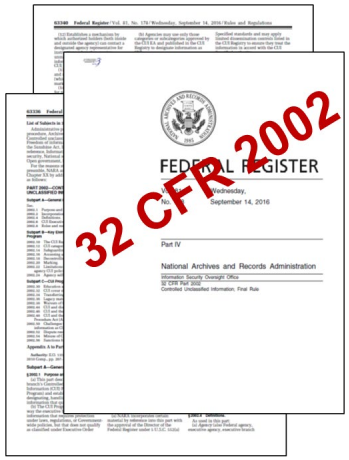
- Privacy (including Health)
- Tax
- Law Enforcement
- Critical Infrastructure
- Export Control
- Financial
- Intelligence
- Privilege
- Unclassified Nuclear
- Procurement and Acquisition

Federal Acquisition Regulation (FY20)

"This FAR rule is necessary to ensure uniform implementation of the requirements of the CUI program in contracts across the government, thereby avoiding potentially inconsistent agency-level action." –Unified Agenda

Implementation through Agency Policy





Reformed Agency Policy and Practice

Implementation Activities

- Implementation requires modification or the development of:
  - Policy *(Parent Agency and Components)*
  - Training *(Awareness, initial, recurring, specialized)*
  - Physical Safeguarding *(Verification of existing safeguards)*
  - Information Systems *(Assess and modify)*
  - Incident Management *(Reporting, mitigation, prevention)*
  - Contracts & Agreements *(Assess and modify)*
  - Self-Inspection Program

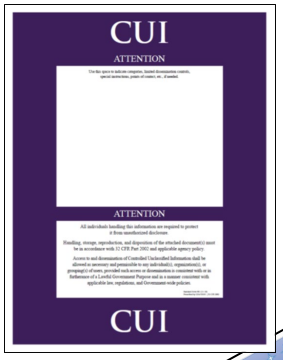
Implementation Status

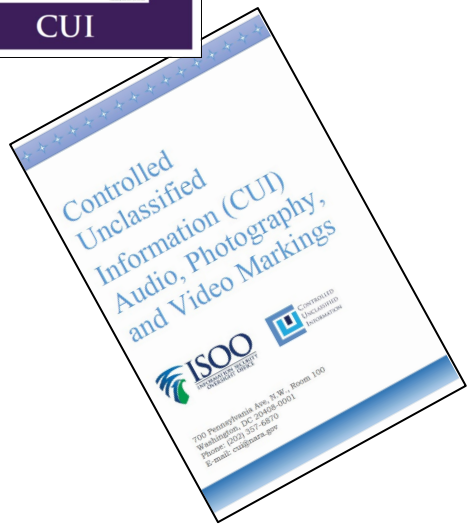
- Implementation activities (November 2016)
  - Program officials, resources, policy, training, information systems, contracts, oversight
- **CUI practices and Legacy practices will exist at the same time. Legacy practices will eventually be phased out.**
- Full implementation is expected by end of 2021.
  - Based on agency projections

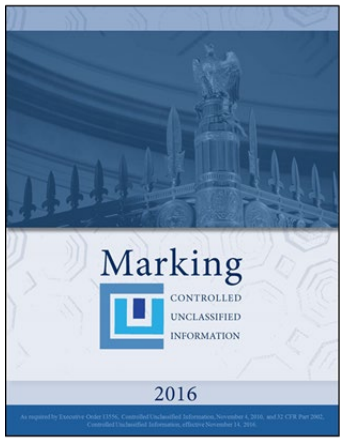
What is taking so long?

- Funding
- Changes in Agency Leadership
- Scope of the program
  - All agency personnel
  - All agency policy and training
  - All information systems that store or process CUI
  - All Contracts and Agreements
  - Self Inspection
  - Assessment of working environments (telework, cubicles, etc)

Available Resources







Training Videos

CUI Overview Video (11 Minutes)

What to report

CUI incidents include but are not limited to:

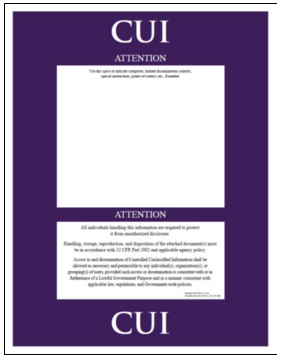
- Improper storage of CUI
- Actual or suspected mishandling of CUI
- When unauthorized individuals gain access to CUI (physical or electr
- Unauthorized release of CUI (to public facing websites or to unauthor individuals)
- Suspicious behavior from the workforce (Insider Threats)
  - General disregard for security procedures
  - Seeking access to information outside the scope of current responsibilities
  - Attempting to enter or access to sensitive areas (where CUI is stored, discusse processed)

Follow your agency policy and procedures regarding how to report incid

Controlled Unclassified Information

What is CUI?

Information that requires protection.



Controlled Unclassified Information

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Decontrol and Marking

or strike all markings on decontrolled

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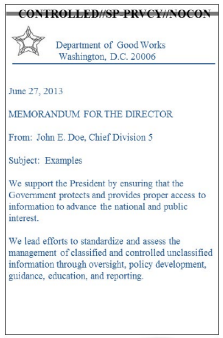
ased
ted

gency policy to remove or strike CUI only

page,
page, or
page of any attachment.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Department of Good Works
Washington, D.C. 20006

June 27, 2013

MEMORANDUM FOR THE DIRECTOR

From: John E. Doe, Chief Division 5

Subject: Examples

We support the President by ensuring that the Government protects and provides proper access to information to advance the national and public interest.

We lead efforts to standardize and assess the management of classified and controlled unclassified information through oversight, policy development, guidance, education, and reporting.

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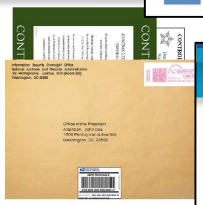
How to Send CUI in Packages and Mail

CUI may be shipped through:

- Interagency mail systems
- United States Postal Service
- Commercial Delivery Services
- Automated Tracking is a best practice



DO NOT Place Markings on Packages or Envelops!

Controlled Unclassified Information

CUI Basic and CUI Specified

CUI Specified
(Requires unique markings)

Laws, Regulations, or Government-wide policies require specific protections. For example:
- Unique markings
- Enhanced physical safeguards
- Limits on who can access the information

CUI Basic

Laws, Regulations, or Government-wide policies DO NOT require specific protections.

Controlled Unclassified Information

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gns for approved destruction equipment and methods

ISOO Controlled Unclassified Information

nent has been approved for the destruction
rolled Unclassified Information (CUI).

Date: 7/18/2017 Serial Number: ABCD9876543321
Make/Model: This Shredder/XXX

- Never use trash cans or recycling bins to dispose of CUI

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Controlled Unclassified Information

Questions?

cui@nara.gov

https://isoo.blogs.archives.gov/