**CUI References**

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# DOE References:

* DOE Directive 206.2, Identity, Credential, and Access Management (ICAM)
* DOE Enterprise Incident Response (IR) Plan
* DOE ITC 18-01, Anti-Phishing Security Defenses
* DOE ITC 18-02, Remote Access
* DOE ITC 18-03, Removable Media Security
* DOE ITC 18-04, Social Media Security
* DOE Manual 471.3-1, Manual for Identifying and Protecting Official Use Only Information
* DOE Order 150.1A, Continuity Programs
* DOE Order 200.1A, Information Technology Management
* DOE Order 203.2, Mobile Technology Management
* DOE Order 206.1, DOE Privacy Program
* DOE Order 206.2, Identity, Credential, and Access Management (ICAM)
* DOE Order 471.6, Information Security
* DOE Order 472.2, Personnel Security
* DOE Program 413.1, Program and Project Management Policy for the Planning, Programming, Budgeting, and Acquisition of Capital Assets
* DOE P 485.1A, Foreign Engagements with DOE National Laboratories
* E-Government Act of 2002
* Executive Order 13526, Classified National Security Information (Dec. 29, 2009)
* Federal Information Security Modernization Act (FISMA) of 2014
* Federal Information Technology Acquisition Reform Act (FITARA)
* FY 2020 CIO FISMA Metrics
* Government Paperwork Elimination Act
* Government Performance Results Act
* National Nuclear Security Administration Re-delegation Order NO. 00-003.03-02A to the Associate Administrator for Information Management and Chief Information Officer (June 1, 2017)

# CUI Executive Order

[Executive Order 13556 "Controlled Unclassified Information"](https://www.govinfo.gov/content/pkg/DCPD-201000942/pdf/DCPD-201000942.pdf)

# CUI Implementing Regulation

[32 CFR Part 2002 "Controlled Unclassified Information"](https://www.govinfo.gov/content/pkg/CFR-2018-title32-vol6/pdf/CFR-2018-title32-vol6-part2002.pdf)

# Agency Reporting

* [Annual Report on Agency Implementation of the Controlled Unclassified Information (CUI) Program for FY19](https://www.archives.gov/files/cui/documents/20190812-memo-to-agencies-fy19-reporting.pdf)
* [CUI Report Form and Instructions for FY19](https://www.archives.gov/files/cui/documents/fy19-reporting-form-complete.pdf)

# CUI Notices and Executive Agent Guidance

* 09/06/2019, [CUI Notice 2019-04: Oversight of the Controlled Unclassified Information (CUI) Program within Private Sector Entities](https://www.archives.gov/files/cui/documents/20190906-cui-notice-2019-04-privatae-sector-entities.pdf)
* 07/15/2019, [CUI Notice 2019-03: Destroying Controlled Unclassified Information (CUI) in Paper Form](https://www.archives.gov/files/cui/documents/20190715-cui-notice-2019-03-destroying-cui-in-paper-form.pdf) (supersedes CUI Office Notice 2017-02 dated August 17, 2017)
* 05/13/2019, [CUI Notice 2019-02: CUI Program Manager Position Description Template](https://www.archives.gov/files/cui/documents/20190515-cui-notice-2019-02-program-manager-position-description.pdf) [Attachment for CUI Notice 2019-02](https://www.archives.gov/files/cui/documents/cui-program-manager-description-20190515.docx)
* 02/22/2019, [CUI Notice 2019-01: Controlled Unclassified Information (CUI) Coversheets and Labels](https://www.archives.gov/files/cui/documents/20190222-cui-notice-2019-01-coversheet-label.pdf)
* 11/19/2018, [Decontrolling Controlled Unclassified Information (CUI) in response to a Freedom of Information Act (FOIA) request](https://www.archives.gov/files/cui/documents/20181119-cui-and-foia-decontrol.pdf)
* 11/16/2018, [CUI Notice 2018-07: Controlled Unclassified Information (CUI) Limited Dissemination Controls](https://www.archives.gov/files/cui/documents/20181116-cui-notice-2018-07-limited-dissemination-controls.pdf)
* 11/16/2018, [CUI Notice 2018-06: Establishing, Eliminating or Modifying Categories of Controlled Unclassified Information (CUI)](https://www.archives.gov/files/cui/documents/20181116-cui-notice-2018-06-establishing-eliminating-modifying-cui-categories.pdf)
* 11/16/2018, [CUI Notice 2018-04: Provisional Categories](https://www.archives.gov/files/cui/documents/20181116-cui-notice-2018-04-provisional-categories.pdf) (supersedes CUI Office Notice 2013-01 dated May 22, 2013)
* 10/25/2018, [CUI Notice 2018-05: Implementation Guidance when Commingling Controlled Unclassified Information (CUI) and Classified National Security Information (CNSI)](https://www.archives.gov/files/cui/documents/20181025-cui-notice-2018-commingled-cu-cnsi-final.pdf)
* 10/16/2018, [CUI Senior Agency Official/Program Manager Change Form](https://www.archives.gov/files/cui/documents/senior-agency-official-program-manager-change-form-20181016-final.pdf)
* 08/06/2018, CUI FY2018 Annual Reporting Memo, Form and Instructions
* 03/13/2018, [CUI Notice 2018-03: Implementation and Compliance Reporting and Delays](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2018-cui-notice-2018-03-implementation-and-compliance-reporting-and-delays.pdf)
* 01/24/2018, [CUI Notice 2018-02: Recommendations for Controlled Unclassified Information (CUI) Basic Training](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2018-cui-notice-2018-02-basic-training-recommendations.pdf)
* 01/24/2018, [CUI Notice 2018-01: Guidance for Drafting Agreements with Non-Executive Branch Entities involving Controlled Unclassified Information (CUI)](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2018-cui-notice-2018-01-drafting-agreements.pdf)
* 08/17/2017, Memorandum for Heads of Executive Branch Departments and Agencies: Annual Report to the President on Agency Implementation of the Controlled Unclassified Information (CUI) Program for FY 2017
* 08/17/2017, CUI Notice 2017-02: Controlled Unclassified Information (CUI) and Multi-Step Destruction Process (superseded by CUI Notice 2019-03 on July 15, 2019)
* 06/19/2017, [CUI Notice 2017-01: Implementation Recommendations for the Controlled Unclassified Information Program](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2017-cui-notice-2017-01-implementation-recommendations.pdf)
* 04/07/2017, [Controlled Unclassified Information (CUI) Program Implementation Status Report](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/20170407-cui-status-report-request-and-forms.pdf)
* 12/06/2016  , [Marking Controlled Unclassified Information Rev 1.1 (Marking Handbook)](https://www.archives.gov/files/cui/documents/20161206-cui-marking-handbook-v1-1-20190524.pdf)
* 09/16/2016, Marking Controlled Unclassified Information (Marking Handbook)
* 09/14/2016, [Controlled Unclassified Information (CUI) Notice 2016-01: Implementation Guidance for the Controlled Unclassified Information Program](https://www.archives.gov/files/2016-cuio-notice-2016-01-implementation-guidance.pdf)
* 07/03/2014, [Revised Guidance regarding Controlled Unclassified Information and the Freedom of Information Act](https://www.archives.gov/cui/registry/policy-guidance/registry-documents/2014-doj-oip-cui-joint-issuance-on-foia.pdf) (supersedes joint issuance dated November 22, 2011)
* 05/22/2013, Controlled Unclassified Information (CUI) Office Notice 2013-01: Provisional Approval of Proposed CUI Categories and Subcategories (superseded by CUI Notice 2018-04 on November 16, 2018)
* 04/11/2013, [Appointments of Senior Agency Official and Program Manager for CUI Program Implementation](https://www.archives.gov/cui/registry/policy-guidance/registry-documents/2013-cui-memo-appointments.pdf)
* 07/27/2012, [Controlled Unclassified Information (CUI) Office Memorandum: Review of Proposed Categories for CUI Registry](https://www.archives.gov/cui/registry/policy-guidance/registry-documents/2012-cuio-memo-2012-proposed-category-review.pdf)
* 11/22/2011, Guidance regarding CUI and the Freedom of Information Act (superseded on July 3, 2014)
* 06/09/2011, Controlled Unclassified Information (CUI) Office Notice 2011-01: Initial Implementation Guidance for Executive Order 13556 (superseded on September 14, 2016 by 32 CFR Part 2002 "Controlled Unclassified Information))

# Office of Management and Budget (OMB) Circular No. A-11

OMB Circular No. A-11 provides guidance on preparing the Budget and instructions on budget execution. Section 31.15 requires that agency budget estimates should reflect consideration of Executive Order 13556, Controlled Unclassified Information (CUI), and the policies issued by the National Archives and Records Administration (NARA), 32 CFR part 2002, "Controlled Unclassified Information," with an effective date of November 14, 2016, and CUI Notice 2016-01, "Implementation Guidance for the Controlled Unclassified Information Program," September 14, 2016.

* [2019](https://www.whitehouse.gov/wp-content/uploads/2018/06/a11.pdf)
* [2018](https://www.whitehouse.gov/wp-content/uploads/2018/06/a11.pdf)
* [2017](https://www.whitehouse.gov/sites/whitehouse.gov/files/omb/assets/a11_current_year/a11_2017.pdf)
* [2016](https://www.whitehouse.gov/sites/whitehouse.gov/files/omb/assets/a11_current_year/a11_2016.pdf)

# NIST Publications

The following National Institute of Standards and Technology (NIST) publications have been incorporated by reference into the CUI Implementing Directive, 32 CFR Part 2002, and should be consulted for guidance on implementing specific measures to safeguard CUI:

* [Federal Information Processing Standards Publication 199, Standards for Security Categorization of Federal Information and Information Systems](https://csrc.nist.gov/publications/fips/fips199/FIPS-PUB-199-final.pdf)
* [Federal Information Processing Standards Publication 200, Minimum Security Requirements for Federal Information and Information Systems](https://csrc.nist.gov/publications/fips/fips200/FIPS-200-final-march.pdf)
* [NIST Special Publication 800-53, Revision 4, Security and Privacy Controls for Federal Information Systems and Organizations](https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-53r4.pdf)
* [NIST Special Publication 800-88, Revision 1, Guidelines for Media Sanitization](https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-88r1.pdf)
* [NIST Special Publication 800-171, Revision 1, Protecting Controlled Unclassified Information in Nonfederal Systems and Organizations](https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-171r1.pdf)
* [NIST Special Publication 800-171A, Assessing Security Requirements for Controlled Unclassified Information](https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-171a.pdf)

# CUI Advisory Council

The CUI EA established the CUI Advisory Council to carry out consultative functions directed by Executive Order 13556.

* [CUI Advisory Council Charter](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/2018-cui-advisory-council-charter.pdf)
* [CUI Registry Committee Charter](https://www.archives.gov/files/cui/registry/policy-guidance/registry-documents/cui-registry-committee-charter.pdf)

 Additional Resources

The CUI EA has developed recommendations to assist departments and agencies in development of their CUI programs and submission of information to the EA.

* [Compliance Plan Overview for Departments and Agencies](https://www.archives.gov/files/2011-overview-for-compliance-plans.pdf)
* [Executive Order 13556 Overview for Departments and Agencies](https://www.archives.gov/cui/registry/policy-guidance/registry-documents/2010-overview-for-depts-and-agencies-from-ea.pdf)