

Directives Quarterly Update for July-August-September 2015

The following new and revised certification memoranda, justification memoranda, and directives are available on the Office of Management's home page for Directives at https://www.directives.doe.gov/directives-browse#c8-operator=or&c10=&c12=&b_start=0.

Certification Memoranda

ISSUED	OPI	TITLE	DESCRIPTION
7/16/2015	AU	Certification for DOE O 472.2 Chg 1, Personnel Security	DOE O 472.2 Chg 1, Personnel Security, dated 07-09-2014, has been reviewed by AU and deemed to be in compliance with related Departmental Directives, Secretarial Delegations, organizational structure, budget guidelines, regulations, standards, OMB guidance, relevant memoranda of understanding and public laws.
7/16/2015	MA	Certification for DOE O 440.2C, Aviation Management and Safety	DOE O 440.2C Chg 1 has been reviewed by MA and deemed to be in compliance with related Departmental Directives, Secretarial Delegations, organizational structure, budget guidelines, regulations, standards, OMB guidance, relevant memoranda of understanding and public laws.
8/3/2015	CF	Certification Memo for DOE O 137.1B, Plan for Operating in the Event of a Lapse in Appropriations	DOE O 137.1B has been reviewed by CF and deemed to be in compliance with related Departmental Directives, Secretarial Delegations, organizational structure, budget guidelines, regulations, standards, OMB guidance, relevant memoranda of understanding and public laws.

Justification Memoranda

ISSUED	OPI	TITLE	DESCRIPTION
7/16/2015	SC	Notice of Intent to Develop a Page Change to DOE O 443.1B, Protection of Human Research Subjects	<p>The purpose of the page change is to harmonize the definitions in this Order with those in the Federal regulations for the protection of human subjects (10 CFR Part 745). Specifically, splitting the definition "human subject research" into "research" and "human subject," and adopting, verbatim, the definitions of "research" and "human subject" from 10 CFR Part 745. We also propose adding to this section the definition of "generalizable," since the determination of whether a project is "research" in 10 CFR Part 745 hinges on whether the work being conducted is generalizable. Additionally, we propose making several small corrections and updates to the references, links, and organization titles.</p>
7/16/2015	MA	Notice of Intent to Update DOE O 436.1, Departmental Sustainability	<p>The update will revise the Department's approach to sustainability to conform to current federal requirements and organizational structure, as well as to maximize efficiency and effectiveness in achieving a sustainable complex.</p>
7/16/2015	IM	Notice of Intent to Revise and Consolidate DOE O 224.3, DOE O 2340.1C, and DOE O 221.3A	<p>The revision will update and consolidate audit-related DOE Orders</p>
8/6/2015	AU	Notice of Intent to Revise DOE P 470.1A, Safeguards and Security Program	<p>The proposed revision of this directive will realign this policy to create an integrated security enterprise that effectively protects the Department's assets to institute enterprise-wide solutions to common challenges across the complex.</p>

8/6/2015	AU	Notice of Intent to Revise DOE O 470.4B, Safeguards and Security Program	The directive is proposed for revision to reflect the establishment by the Secretary of Energy of the Office of Environment, Health, Safety and Security (AU), the Chief Security Officer positions and the Security Committee. The responsibilities section of the Order will be revised to include the Chief Security Officers and the Security Committee and to better reflect current Departmental structure.
8/6/2015	HS	Notice of Intent to Revise Department of Energy Guide 424.1-1B, Implementation Guide for Use in Addressing Unreviewed Safety Questions Requirements	The Office of Environment, Health, Safety and Security (AU) is proposing to perform a full revision of DOE Guide 424.1-1B to incorporate lessons learned identified by DOE Program Offices since the Guide's last full revision in 2006. In 2010 a limited revision to the Guide was conducted to incorporate a clarification on use of evaluation of the safety of the situation and consolidation of the potentially inadequate analysis process within the Guide.
8/6/2015	SE	Request to Cancel DOE Policy 111.1, Departmental Organization Management System	This Policy has been proposed for cancellation. It reiterates the authorities given to the Secretary to re-organize the Department and re-delegate those same authorities to Heads of Departmental Elements, as appropriate, as found in the Department of Energy Organization Act, P.L. 95-91, 42 U.S.C. 7101. Shared Service Center standard operating procedures will also reflect the process for reorganizations referred to in this policy.

Directives Approved

ISSUED	OPI	TITLE	DESCRIPTION
8/5/2015	HC	DOE O 329.2 Chg 1, Excepted Service Authority for Exceptionally Well Qualified (EWQ) Authority EQ Pay Plan Employees	This page change streamlines internal hiring processes regarding the EWQ authority by delegating authorities that previously required approval from the Executive Resources Board and/or the Senior Management Review Board to the Heads of Departmental Elements.
8/13/2015	MA	Doe O 551.1D Chg 1, Official Foreign Travel	This page change eliminates the requirement for travelers to surrender their official passports at the completion of a trip and retrieve them prior to subsequent trips. The page change requires travelers be responsible for safeguarding their passports.
8/28/2015	NA	DOE O 452.4C, Security and Use Control of Nuclear Explosives and Nuclear Weapons	The order establishes requirements to implement nuclear explosive security and use control elements of DOE O 452.1E to ensure authorized use, when directed by proper authority, and protect against deliberate unauthorized acts, deliberate unauthorized use, and denial of authorized use. Cancels DOE O 452.4B, dated 1-22-10.
9/2/2015	AU	DOE O 470.6, Technical Security Program	This order implements the Department of Energy (DOE) Technical Security Program (TSP). This program represents the convergence of two distinct disciplines: Counterintelligence (CI) and Security Countermeasures. The elements of the TSP are driven by national level, interagency programs that are codified in various laws, Executive Orders, national polices and directives. Cancels DOE M 470.4-4A Chg 1, dated 10-12-2010, Section D –

			Technical Surveillance Countermeasures (Official Use Only) and classified annex (Secret); and DOE M 205.1-3 (Official Use Only) and Part II (Secret), dated 4-17-2006.
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