# U.S. Department of Energy Washington, D.C.

**NOTICE** 

**DOE N 473.6** 

Approved: 9-18-00 Expires: 3-31-01

### **SUBJECT: SECURITY CONDITIONS**

- 1. <u>OBJECTIVE</u>. To ensure the Department of Energy (DOE) uniformly meets the protection requirements specified in Presidential Decision Directive 39, "U.S. Policy on Counterterrorism (U)."
- 2. CANCELLATION. None.

#### 3. APPLICABILITY.

- a. <u>DOE Elements</u>. The requirements in this Notice apply to all Departmental elements operating Government-owned facilities, including the National Nuclear Security Administration (NNSA).
- b. <u>Contractors</u>. Attachment 1 contains the Contractor Requirements Document (CRD). The requirements in the CRD apply to contractors to the extent set forth in a contract.
- c. <u>Exclusions</u>. Consistent with the responsibilities identified in Executive Order 12344, the Director of the Naval Nuclear Propulsion Program will determine the applicability of this Notice for activities and facilities under his control. Consistent with the authorities identified in DOE Delegation Order No. 0204-161, the Administrator and Chief Executive Officer Bonneville Power Administration, will determine the applicability of this Notice for activities and facilities under Bonneville control. This Notice does not apply to other Power Administration facilities.

#### 4. REQUIREMENTS.

- a. DOE facilities, including those of the NNSA, must be prepared to implement the security measures described in Attachment 2, "Protecting Department of Energy Facilities from Terrorist and Malevolent Acts." The attachment describes what specific security upgrades must be implemented to coincide with each of the respective Security Conditions (SECONs).
- b. NNSA facilities must be prepared to respond to SECON directives provided by the Chief of Defense Nuclear Security. Non-NNSA facilities must be prepared to respond to SECON directives provided by the Director of the Office of Security and Emergency Operations for their individual facility. At their discretion, heads of field

elements may increase protection measures for facilities under their cognizance if they determine that the local threat situation warrants additional security. In this event, the DOE Headquarters Emergency Operations Center and LPSO must be notified of the SECON level. If field office managers or LPSO believe that their facilities' SECON level should be less than that issued by the Chief of Defense Nuclear Security or the Director of the Office of Security and Emergency Operations, a request for exception should be submitted for consideration.

- c. Security Condition Levels are as follows:
  - (1) SECON-5: This condition exists when a general threat of possible terrorist activity exists, but warrants only routine security measures associated with daily operations.
  - (2) SECON-4: This condition applies to a possible threat of terrorist activities and generally enhances security awareness responsibilities.
  - (3) SECON-3: This condition is used when an increased and more predictable threat of terrorist activity exists and may increase access controls to include additional personnel and vehicle barriers.
  - (4) SECON-2: This condition is set when a terrorist incident occurs or intelligence information is received indicating that some form of terrorist action is imminent, and requires specific protection measures to be put in place.
  - (5) SECON-1: This most serious condition is declared in the immediate area where a terrorist attack has occurred which may effect the site or when an attack is initiated on the site. This significantly increases protective measures and may require additional protective elements along with those in SECON-2.

#### 5. RESPONSIBILITIES.

- a. <u>Field Office Managers</u>. Determine when the local threat situation warrants additional security and increased protection measures.
- b. <u>Director of Office of Security and Emergency Operations</u>. For non-NNSA operations, provide SECON direction and review and respond to requests for exception to the requirements of this Notice.
- c. <u>Chief of Defense Nuclear Security</u>. For operations under the cognizance of the NNSA, SECON direction and review and respond to requests for exceptions to the requirements of this Notice.
- 6. <u>RESOURCES</u>. Funding to carry out the responsibilities of this Notice will be allocated to the Program Offices by the Office of Security and Emergency Operations, from the Department-wide security budget. In cases in which the Department does not have a

Department-wide security budget, SO will provide Program Offices with prioritized guidance on expenditures from their security budgets.

7. <u>CONTACT</u>. To obtain assistance concerning this Notice, contact the Office of Safeguards and Security, at 301-903-5693.

## BY ORDER OF THE SECRETARY OF ENERGY:



### CONTRACTOR REQUIREMENTS DOCUMENT

### **DOE N 473.6, SECURITY CONDITIONS**

- 1. Contractors must be prepared to implement the security measures described in DOE N 473.6, SECURITY CONDITIONS, Attachment 2, "Protecting Department of Energy Facilities from Terrorist and Malevolent Acts."
- 2. Contractors must be prepared to respond to SECON directives provided by the Office of Security and Emergency Operations or the Office of Defense Nuclear Security, as appropriate.
- 3. Security Condition Levels are as follows:
  - (1) SECON-5: This condition exists when a general threat of possible terrorist activity exists, but warrants only routine security measures associated with daily operations.
  - (2) SECON-4: This condition applies to a possible threat of terrorist activities and generally enhances security awareness responsibilities.
  - (3) SECON-3: This condition is used when an increased and more predictable threat of terrorist activity exists and may increase access controls to include additional personnel and vehicle barriers.
  - (4) SECON-2: This condition is set when a terrorist incident occurs or intelligence information is received indicating that some form of terrorist action is imminent, and requires specific protection measures to be put in place.
  - (5) SECON-1: This most serious condition is declared in the immediate area where a terrorist attack has occurred which may effect the site or when an attack is initiated on the site. This significantly increases protective measures and may require additional protective elements along with those in SECON-2.