

Approved: 1-5-09
Expires: 1-5-10

SUBJECT: REPORTING FRAUD, WASTE, AND ABUSE

1. **PURPOSE.** To notify all Department of Energy (DOE) employees, including National Nuclear Security Administration (NNSA) employees, of their duty to report allegations of fraud, waste, and abuse to the appropriate authorities, including the DOE Office of Inspector General (OIG).
2. **CANCELLATION.** This Notice cancels DOE N 221.14, *Reporting Fraud, Waste, and Abuse*, dated 12-20-07.
3. **REFERENCES.** DOE O 221.1A, *Reporting Fraud, Waste, and Abuse to the Office of Inspector General*, dated 4-19-08, which establishes policies and procedures for reporting fraud, waste, and abuse to the appropriate authorities, including the DOE OIG.
4. **GUIDELINES FOR REPORTING FRAUD, WASTE, AND ABUSE.**
 - a. Any employee who has information about actual or suspected violations of law, regulations, or policy, including fraud, waste, abuse, misuse, corruption, criminal acts, or mismanagement, relating to DOE programs, operations, facilities, contracts, or information technology systems should notify an appropriate authority (e.g. OIG, other law enforcement, supervisor, employee concerns office, security officials). Examples of violations to be reported include, but are not limited to, allegations of false statements; false claims; bribery; kickbacks; fraud; DOE environmental, safety, and health violations; theft; computer crimes; contractor mischarging; conflicts of interest; and conspiracy to commit any of these acts.
 - b. The above reporting requirement does not apply to information about espionage. Information regarding espionage, including approaches made by representatives of other governments for the commission of espionage or the collection of information, should be reported to the Deputy Director of Counterintelligence.
 - c. Violations of the ethical restrictions that apply to Federal employees, including the Standards of Conduct for employees of the Executive Branch or the Department's supplement thereto, may be reported to the appropriate Ethics Counselor. In addition, employees may report alleged violations of these provisions directly to the OIG.
 - d. Notwithstanding any other provision, an employee may always report incidents or information directly to the OIG.

- e. One avenue for reporting information to the OIG is via the OIG Hotline. The OIG Hotline may be reached at:

1-800-541-1625 (toll free)
202-586-4073 (commercial)
202-586-4902 (fax)
ighotline@hq.doe.gov (email)
Office of Inspector General (mail)
IG-40/Forrestal Building
U.S. Department of Energy
1000 Independence Ave., SW
Washington, DC 20585

BY ORDER OF THE SECRETARY OF ENERGY:



JEFFREY F. KUPFER
Acting Deputy Secretary

CANCELLED